

Utah State Auditor Hotline Program

The “State Auditor Hotline” provides an avenue for citizens, including government employees, to report suspected financial improprieties or violations of laws or regulations by state and local governments; waste of public funds or resources; and/or constructive suggestions for improving state and local governments regarding financial-related matters, internal controls, or compliance. The Hotline coordinates the efforts of existing resources, rather than duplicates efforts of authority already in place.

For personnel grievances, contact:

♦ The Career Service Review Board

If you are a state employee and have a personnel grievance with your state employer contact the Career Service Review Board at (801)538-3048 to resolve your grievance. The State Auditor does not handle personnel grievances.

Contact these entities first:

♦ The entity from which the concern originated

Contacting those in authority at the entity of concern gives the government officials directly involved in the issue(s) the chance to take action or modify procedures. However, if the government entity is not responsive, the concern is with improper actions of top management, or anonymity is desired, the Hotline may be contacted without first contacting the entity.

♦ The Office of Recovery Services (if applicable)

For Department of Human Services, Office of Recovery Services (ORS) complaints, you must first contact ORS Customer Service / Quality Assurance at (801)536-8901 or (800)662-8525.

♦ Fleet Operations (if applicable)

Contact the Division of Fleet Operations for complaints regarding inappropriate actions or use of a state-owned vehicle at the Division of Fleet Operations website: <http://fleet.utah.gov/services/forms/howmydriving.htm> or call Dianne Davis at (801) 537-9187.

If you are not satisfied after contacting the above entities, you may submit your Hotline complaint to the State Auditor’s Office as outlined below.

Hotline Complaint Process:

We request that complaints be reported using the Hotline Reporting Form (see below) whenever possible. Use of this form helps to ensure that the specific details of the concern are appropriately addressed. If this is not possible, at a minimum we must receive the following information either in a letter (see address below or e-mail dempey@utah.gov) or call the Hotline at 1-800-622-1243:

- A detailed description of the improper governmental action(s).
- The name of the employee(s) involved.
- The agency, division and location where the action(s) occurred.
- When the action(s) occurred.
- Any other details that may be important for our investigation – other witnesses, documents, evidence etc.
- The specific law or regulation that has been violated if known.
- Your name, address and phone number**

Each improper action should be noted separately and supported with as much specific information as possible. Supplying detailed information contributes to a thorough and efficient investigation. The Hotline Reporting Form is designed to help you supply the needed information.

****Confidentiality**

Contacts to the Hotline may be anonymous. However, the contact may leave their name so that any further questions the Office may have can be asked and so that the Office can report back to the contact the results of any review or investigation. The identity of the complainant is considered protected information under the Utah Government Records Access and Management Act (Utah Code Section 63-2-304) if the complainant requests anonymity from parties outside the office. Additionally, the State whistle blower statute (Utah Code Section 67-21) protects **government employees** from reprisal by an employer for reporting to the State Auditor, in good faith, suspected illegal acts or waste of public funds. A complaint made to the Hotline, identifying yourself, will provide the necessary communication to invoke the protection of the whistle blower statute.

Auditor's Office Use Only

Hotline Case # _____

Special Project # _____

Fax completed form to:

Debbie Empey
(801) 538-1383

or Send to:

Office of the Utah State Auditor
Attn: Debbie Empey
Utah State Capitol Complex
PO Box 142310
Salt Lake City, Utah 84114-2310

HOTLINE REPORTING FORM**Complainant Information:**

Complainant to remain anonymous? Yes No		
Complainant would like a response? Yes No		
Name	Check One <input type="checkbox"/> State Employee <input type="checkbox"/> Local Government Employee <input type="checkbox"/> Citizen	Date
Home Address		
Phone/cell/e-mail		
Work Address and information (if applicable)		

Subject(s) of Complainant's Assertion

Name	Name	Name
Position	Position	Position
Agency	Agency	Agency
Division	Division	Division
Phone #	Phone #	Phone #

Address	Address	Address
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Subject's Supervisor

Name	Name	Name
Position	Position	Position
Phone #	Phone #	Phone #

Information Concerning the Assertion (Please complete one form for each Assertion)

<p>Who is the subject of the assertion?</p> <p>Which type(s) of improper governmental action does the assertion involve?</p> <p>_____ Violation of state law or regulation</p> <p>_____ Abuse of authority</p> <p>_____ Gross waste of public funds</p>
<p>What is the assertion of improper governmental activity? Please describe in detail. If you need more space please attach a separate piece of paper.</p>
<p>When did the event(s) take place? Please include date, time, and frequency.</p>

Where did the event(s) occur?

Are there other witnesses? If so, what are their names, positions, agencies, and divisions?

Is there evidence that can be examined or documentation that can be reviewed? (Please provide any documentation you have)

How do you know about the improper action? Did you see it occur? Did you see documentation indicating it occurred? Did you hear about it from someone else?

What specific law or state regulation has been violated?